

Nomination Form

A. Nominator

- Nomination by a third party***
(please complete all sections of this Form)
- Self Nomination**
(please complete sections B & C, then complete the Entry Form)

Information about the Nominator

Name in English: _____

Name in Chinese (if applicable): _____

Company: _____

Position: _____

Relationship with Nominee Company: _____

Phone No.: _____ Fax: _____

E-mail: _____

Signature of Nominator: _____

Date: _____

* The nominator may forward the completed Nomination Form together with the Entry Form directly to the nominee company for completion. Alternatively, the nominator may submit the completed Nomination Form to the Award Organising Committee (AOC) by fax to (852) 3411 2046 at least one month before the closing date (i.e. Friday, 31 July 2026) for the AOC to contact the nominee company with sufficient time.

B. Nominee Company Information

Company Name in English: _____

Company Name in Chinese: _____

Sector/ Nature of Business: _____

Stock Code: _____

Address: _____

Contact Person (if known): _____

Phone: _____ Fax: _____

Website: _____

C. Award Category

Step One

Companies can be nominated for either **“Awards of Excellence in Corporate Governance”** or **“Awards of Excellence in ESG”** or Both. Please tick below boxes as appropriate.

- Awards of Excellence in Corporate Governance**
- Awards of Excellence in ESG**

* Can tick either one or both

Step Two

Choose only one of the following sub-groups to be nominated for:

- 1. Hang Seng Index Constituent Companies**
- 2. Hang Seng Composite Index Constituent Companies**
- 3. Hang Seng China (Hong Kong-listed) 100 Index Constituent Companies**
- 4. Weighted Voting Rights and Pre-profit Companies**
(Listed under HKEX Main Board Listing Rules 8A, 18A or 18C, and listed for at least 3 years)
- 5. Others & GEM Companies**
- 6. Newly-listed Companies**
(companies listed within 3 years before the year of award nomination and having published at least two annual reports; companies listed for no more than three years on the Stock Exchange of Hong Kong shall enter for the Newly-listed Companies category; companies having won in this sub-group before shall enter for other sub-groups.)

* Each company can be nominated for only one sub-group

▶ Entry Form (To be filled by Nominee Company)

A. Contact Person Submitting the Entry

Addressing Title (Mr/ Mrs/ Ms/ Dr/ Prof etc):

Name in English:

Name in Chinese (if applicable):

Position in the Company:

Phone:

Fax:

Email:

B. Questionnaire

Companies shall complete a questionnaire for the respective Award category(ies) entered and provide a supplementary write-up as requested. The questionnaire can be downloaded from the "Application Procedures and Forms Section" of the Awards website, and submitted it together with this Entry Form.

Companies entered for both "Awards of Excellence in Corporate Governance" and "Awards of Excellence in ESG" should complete two sets of questionnaires.

Special attention will be paid to the following three areas:

1. Innovations and significant improvements in corporate governance / ESG made over the last three years.
2. Efforts made to internalize and pursue the highest principles of corporate governance / ESG over-and-beyond compliance with requirements.
3. Ability to show positive business impact of the corporate governance / ESG spirit and practices.

Companies shortlisted for the Judging Panel interview are encouraged to provide objective evidence and concrete examples to substantiate the above to facilitate final evaluation.

Companies are also encouraged to demonstrate their efforts in adhering to or exceed the latest rules and regulations governing listed companies and directors, such as Board Diversity and ESG Reporting, and Corporate Governance Code, etc.

C. Provision of Supporting Material

Please provide the latest audited annual reports and any other further information that will support and substantiate your application.

D. Declaration & Signature

My company wishes to be considered for the Hong Kong Corporate Governance and ESG Excellence Awards 2026. I declare that the information submitted in the award Entry Form and the Questionnaire are accurate to the best of my knowledge and agree that the decisions made by the organiser and the judging panel are final and binding in all aspects relating to the Hong Kong Corporate Governance and ESG Excellence Awards 2026. My company agrees to comply with the terms and conditions specified in the Award Scheme.

Signature of representative for and on behalf of the nominee company:

Name in Block Letters:

Title:

Date:

E. Administration Fee

An Administration Fee of HK\$3,500 is applicable for one entry in either Award Categories of "Awards of Excellence in Corporate Governance" or "Awards of Excellence in ESG". An administration fee of \$6,000 is applicable for simultaneous entry in both Award Categories. Payment of the entry fee shall be made by cheque payable to "The Chamber of Hong Kong Listed Companies". The cheque should be attached to the completed entry form at time of submission. Alternatively, payment can be made via bank transfer, received on or before the submission deadline. (Please note that if there is a bank service charge for a bank transfer, it will be borne by the sender.) The bank account details are as follows:

Bank: Standard Chartered Bank (HK) Ltd.

Bank Address: 4 – 4A, Des Voeux Road, Central, Hong Kong

Beneficiary Account: 447-1-089308-9

Beneficiary: The Chamber of Hong Kong Listed Companies

Completed Nomination Form and/or Entry Form with the Questionnaire and supporting material shall be sent via Email to:

Awards Secretariat
Award Organising Committee
The Hong Kong Corporate Governance and
ESG Excellence Awards

Email: ccgfp@hkbu.edu.hk

Email Subject: "Excellence Awards 2026 Submission"

Enquiry: (852) 3411 5281

Important:

**Last Date of Submission of Entry is
28 August 2026 (Friday)**

▶ 提名表格

A. 提名人

- 由第三方提名* (請填寫本表格所有章節)
 自行提名 (請填寫本表格 B、C 節, 再填寫參選表格)

關於提名人

英文名:

中文名:

公司:

職位:

與獲提名公司的關係:

電話號碼:

傳真:

電郵:

提名人簽署:

日期:

* 提名人可將填妥的提名表格連同參選表格直接交給獲提名公司, 由其填寫。或者, 提名人需在截止日期前至少一個月 (即二零二六年七月三十一日, 星期五) 將填妥之提名表格透過傳真方式發送給獎項組織委員會 (獎項組委會), 傳真號碼為 (852) 3411 2046, 以便獎項組委會有充分時間與獲提名公司聯繫。

B. 獲提名公司資料

公司英文名:

公司中文名:

業務所屬行業 / 性質:

股份代號:

地址:

聯繫人 (如知悉):

電話:

傳真:

網址:

C. 提名獎項

步驟一

每間公司可提名角逐“公司管治卓越獎”或“ESG卓越獎”類別或兩獎項類別同時角逐。請選擇。

- 公司管治卓越獎
 ESG卓越獎

* 可選一或兩項

步驟二

請選擇提名下列一個組別的獎項:

1. 主板公司: 恒生指數成份股公司
2. 主板公司: 恒生綜合指數成份股公司
3. 恒生中國 (香港上市) 100指數成份股公司
4. 不同投票權架構公司及未有收益的公司
(根據香港交易所《主板上市規則》第八A章、第十八A章或第十八C章上市; 並上市至少三年)
5. 其他及GEM公司
6. 新上市公司 (於參選獎項年份前三年內上市並刊發最少兩份年報, 凡於港交所上市不足三年之公司應參選新上市公司組別; 曾在此組別獲獎的企業應參選其他組別。)

* 每家公司只能在一個組別提名角逐獎項

▶ 參選表格 (由獲提名公司填寫)

A. 遞交參選表格的聯繫人

稱呼 (先生/夫人/女士/博士/教授等) :

英文名 :

中文名 (如適用) :

職位 :

電話 : 傳真 :

電郵 :

B. 問卷

參選公司需填妥相關參選類別之問卷，並按要求填寫補充簡介。問卷可於獎項網站之「參選程序及表格」部份下載。

同時參選“公司管治卓越獎”或“ESG卓越獎”類別的公司需分別填寫兩份問卷。

評審委員會將特別關注公司在下列三大範疇的表現：

1. 過去三年在公司管治 / ESG方面的創新和重大改善措施。
2. 在深化並追求公司管治 / ESG最高原則作出努力，並非單純符合條例要求。
3. 能顯示其公司管治 / ESG理念和措施為業務帶來正面影響。

參選公司如獲推薦與評獎委員會會面，請就上述之表現提出客觀證據和實質例子，以便進行最終評審。

參選公司也可就他們在符合，甚至超越，適用於上市公司和董事的條例，例如董事會多元化和環境、社會及管治報告，及企業管治守則所作之努力提供詳細資料。

C. 提供相關資料

請提供最近期經審核年度報告及可支持參選的任何相關資料。

D. 聲明及簽署

本公司有意參選二零二六年度香港公司管治與環境、社會及管治卓越獎。本人聲明，就本人所知，獎項參選表格中所載資料均準確無誤，且本人同意主辦機構和評獎委員會所作的決定為最終決定並在與二零二六年度香港公司管治與環境、社會及管治卓越獎有關的所有方面具有約束力。本公司同意遵守獎項計劃中規定的條款及條件。

獲提名公司代表簽署：

姓名(正楷)：

職位： 日期：

E. 行政費

參選“公司管治卓越獎”或“ESG卓越獎”其中一個類別的公司需繳交港幣三千五百元正的行政費。同時參選“公司管治卓越獎”及“ESG卓越獎”兩個類別的公司需繳交港幣六千元正的行政費。有關費用可以支票繳交，連同填妥的參選表格一併交回，支票抬頭請寫「香港上市公司商會」。公司亦可透過電匯繳交，惟款項必須於參選截止日期當日或以前匯妥，相關之銀行手續費概由公司負責。銀行賬戶資料如下：

銀行：渣打銀行(香港)有限公司

銀行地址：香港中環德輔道中 4-4A 號

戶口帳號：447-1-089308-9

收款人：香港上市公司商會

填妥的提名表格及/或參選表格連同問卷和相關資料電郵往：

香港公司管治與環境、社會及管治卓越獎

獎項組織委員會秘書處

電郵：ccgfp@hkbu.edu.hk

電郵主題：「2026年卓越獎參選資料」

查詢：(852) 3411 5281

注意事項：

**提交參選表格及資料的截止日期為
二零二六年八月二十八日(星期五)**