

2026 Awards of Excellence in ESG Candidate Company Questionnaire

Companies nominated for the 2025 Awards of Excellence in ESG, please fill in this questionnaire diligently and truthfully. This questionnaire goes beyond the compliance level outlined in the present Appendix C2 "Environmental, Social and Governance Reporting Guidelines" of the Listing Rules issued by HKEX and is designed to establish a company's commitment to the highest principles. Candidates are welcome to elaborate or explain their answers if deemed necessary. Please feel free to contact us if you have any comments or inquiries regarding the questionnaire. This will assist our Expert Review Group ("ERG") to make initial assessment of your company's ESG performances and commitment. Together with other review work to be done by ERG, this will form the basis of ERG's recommendation to the Judging Panel.

This questionnaire can be answered online. Please save the answered questionnaire in PDF format and submit it together with other materials.

Governance	Yes	No	Not applicable
1. Does your company disclose information on the board's oversight of ESG issues in its public disclosures?	☐	☐	☐
2. Does your company have a dedicated ESG Committee at Board level to oversee and monitor ESG matters?	☐	☐	☐
3. Does the board explicitly oversee climate-related risks and opportunities as part of its governance responsibilities?	☐	☐	☐
4. Does your company have board directors with specific expertise or experience in ESG issues?	☐	☐	☐
5. Does any board director have dedicated responsibility over the company's ESG matters?	☐	☐	☐
6. Is the board of directors directly involved in the process and deliberation when determining the materiality of the company's ESG issues?	☐	☐	☐
7. Has your company provided any dedicated ESG training or education to the board of directors in the past 12 months?	☐	☐	☐
8. Has ESG performance related considerations and metrics are linked to executive pay and bonuses and factored into company's remuneration policy?	☐	☐	☐
9. Are climate-related performance metrics linked to executive remuneration?	☐	☐	☐
10. Does your company incorporate its ESG policy into its overall corporate goals, vision, or mission?	☐	☐	☐

	Yes	No	Not applicable
11. Does your company disclose the specific terms of reference, oversight frequencies, and reporting mechanisms used by the board or its dedicated committee to monitor climate-related risks and opportunities?	☐	☐	☐
12. Does the management in your company use controls and procedures to support the oversight of climate-related risks and opportunities?	☐	☐	☐
13. Has your company incorporated the identification, assessment, prioritization, and monitoring of climate-related risks and opportunities into its overall risk management process?	☐	☐	☐
14. Is your company a signatory to any international initiatives aimed at promoting sustainability and responsible business practices, such as the United Nations Sustainable Development Goals (UNSDG), the United Nations Global Compact (UNGC), or the United Nations Principles for Responsible Investment (UNPRI)?	☐	☐	☐
15. Does your company's process for identifying and assessing climate-related risks and opportunities cover its entire value chain (both upstream supply chain and downstream products/services), rather than just its own operations?	☐	☐	☐
16. Is the ESG report of your company prepared in accordance with any internationally recognised frameworks or standards, such as the Global Reporting Initiative (GRI), the Sustainability Accounting Standards Board (SASB), or the Task Force on Climate-related Financial Disclosures (TCFD)?	☐	☐	☐
17. Is the ESG report of your company externally assured by a third-party organisation?	☐	☐	☐

Environmental	Yes	No	Not applicable
18. Does your company provide professional development training programmes for employees?	☐	☐	☐
19. Does your company have a policy or programme to promote the employment of underprivileged persons?	☐	☐	☐
20. Has your company established an employee incentive programme to promote ESG goals and values?	☐	☐	☐
21. Does your company have a comprehensive staff diversity policy in place for active promotion throughout the organisation?	☐	☐	☐
22. Does your company have established policies and procedures to prevent and detect corruption, and to protect whistleblowers?	☐	☐	☐
23. Does your company provide anti-corruption training for board of directors and employees?	☐	☐	☐
24. Does your company have established policies or programmes to protect the welfare and rights of customers?	☐	☐	☐
25. Does your company have established policies or programmes to serve the society and/or the community?	☐	☐	☐
26. Does your company have targeted sectors or themes for its community investment programmes?	☐	☐	☐

	Yes	No	Not applicable
27. Does your company have established policies or programmes to manage environmental and social risks in its supply chain?	☐	☐	☐
28. Does your company conduct audits of its supply chain to assess environmental and social risks?	☐	☐	☐

Environmental	Yes	No	Not applicable
29. Does your company publicly disclose its environmental quantitative targets and corresponding progress, including emissions, energy efficiency, water efficiency, and waste reduction?	☐	☐	☐
30. Does your company have a company-wide programme in place to reduce carbon emissions across its business activities?	☐	☐	☐
31. Does your company have independent third-party verification or audit of its Scope 1 and 2 greenhouse gas (GHG) emissions?	☐	☐	☐
32. Does your company publicly disclose its major Scope 3 upstream and downstream categories, beyond common indirect emissions such as employee commuting and travel?	☐	☐	☐
33. Has your company set a target and timeline to achieve carbon neutrality?	☐	☐	☐
34. Does your company have an internal carbon pricing mechanism in place?	☐	☐	☐
35. Is your company's greenhouse gas emission reduction or carbon neutrality target based on climate science (e.g., Science Based Targets initiative - SBTi), and is the interim progress subjected to independent third-party assurance?	☐	☐	☐
36. Does your company identify and publicly disclose the potential impacts of climate-related risks on its business, including both physical and transition risks with financial impacts?	☐	☐	☐
37. Does your company define climate-related risks and opportunities across time horizons (short/medium/long-term) with projected im	☐	☐	☐
38. Does your company provide quantitative information about current or anticipated financial effects of climate-related risks and opportunities?	☐	☐	☐
39. Does your company quantify and disclose the amount and percentage of assets or business activities that are highly vulnerable to climate-related physical risks (e.g., extreme weather) and transition risks (e.g., stranded carbon assets)?	☐	☐	☐
40. Does your company conduct scenario analysis (e.g., RCP 8.5, RCP 2.5) for climate risk preparedness?	☐	☐	☐
41. Does your company assess the resilience of its assets and operations under different climate scenarios?	☐	☐	☐

	Yes	No	Not applicable
42. Does your company disclose investments in climate adaptation measures (e.g., flood defenses, drought-resistant infrastructure)?	☐	☐	☐
43. Has your company formulated a climate transition plan (e.g., business model adaptation to meet decarbonization targets), and do you publicly disclose the resources, capital expenditures (CapEx), or R&D investments allocated to support it?	☐	☐	☐

Improvements	Yes	No	Not applicable
44. Has your company been invited for an interview in front of the judging panel for the Corporate Governance and ESG Excellence Awards before?	☐	☐	☐
45. If your answer to the previous question is "Yes", then please list on a separate sheet of paper improvements (if any) that the company has made as a result of the comments it received previously from the judging panel.	☐	☐	☐



Supplementary Information

In addition, each candidate company is required to provide supplementary information in no more than 800 words (in either English or Chinese) to illustrate the company's ESG beliefs, new endeavours, major achievements, or improvements. A case study can be used as illustration. Where appropriate, candidates are encouraged to make reference to the SASB Materiality table (<https://www.sasb.org/standards/materiality-finder/find/>) to justify your company's identified ESG topics and discuss its ESG strategy against the relevant aspects of its industry and sector, to demonstrate its understanding and achievements in addressing the specific sustainability challenges and opportunities.

Separate write-ups are required for the "Award of Excellence in Corporate Governance" and the "Award of Excellence in ESG".

As our ERG would review published annual and / or ESG reports as part of its evaluation, additional and updated information or a highlight description is recommended.

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