Jointly Instituted & Organised by
聯合創立及舉辦

CHKLC
香港上市公司商會
THE CHAMBER OF HONG KONG LISTED COMPANIES

香港浸會大學
HONG KONG BAPTIST UNIVERSITY
公司管治與金融政策研究中心
CENTRE FOR CORPORATE GOVERNANCE AND FINANCIAL POLICY

HONG KONG Corporate Governance & ESG EXCELLENCE AWARDS 2023
香港公司管治與環境、社會及管治卓越獎

Venue : Grand Ballroom, Grand Hyatt Hong Kong
地點 : 香港君悅酒店宴會大禮堂
Date : 14 December 2023 (Thursday)
日期 : 二零二三年十二月十四日(星期四)

Now Open for Nomination
現正接受報名

Last Date of Entry
截止接受提名

31.8.2023

Please Visit 請即登入 cgesgawards.chklc.org

表揚卓越公司管治和ESG表現
Acknowledging Excellence in Corporate Governance and ESG

ESG Awards cum Bronze Sponsor
ESG 獎項暨銅贊助
BDO 香港立信德豪會計師事務所

Sole Chinese Media Sponsor
獨家中文媒體贊助
hket 香港經濟日報

Exclusive Radio Partner
獨家電台夥伴
新城財經台 METRO FINANCE FM104

Online Media Partners
網上媒體夥伴
irasia.com Investor Relations Asia Pacific
Quamnet 華富財經 Investing with a NEW Edge
CORPHUB
Wisdom 智富 Investor Relations

Supporting Organisations
支持機構
財經事務及庫務局 Financial Services and the Treasury Bureau
SECURITIES AND FUTURES COMMISSION 證券及期貨事務監察委員會
HKEX 香港交易所
CPA Hong Kong Institute of Certified Public Accountants 香港會計師公會
香港中國企業協會 THE HONG KONG CHINESE ENTERPRISES ASSOCIATION

The **Hong Kong Corporate Governance and ESG Excellence Awards 2023** invites nominations from Hong Kong listed companies with outstanding commitment to shareholder rights, compliance, integrity, fairness, responsibility, accountability, transparency, board independence & leadership, and ESG.

Now Open for Nomination

Objectives

The **Hong Kong Corporate Governance and ESG Excellence Awards,** previously known as The Hong Kong Corporate Governance Excellence Awards, was inaugurated in 2007. The Awards programme adopted its present name in 2021 to reflect the increasing importance of ESG (Environmental, Social and Governance) to listed issuers and the investing public.

The Awards are conferred annually by the Chamber of Hong Kong Listed Companies (CHKLC) and the Centre for Corporate Governance and Financial Policy, Hong Kong Baptist University (CCGFP) and are designed to encourage improvement in corporate governance (CG) and ESG of listed companies in Hong Kong.

The Awards provide recognition and prestige for listed companies in achieving outstanding commitment to shareholder rights, compliance, integrity, fairness, responsibility, accountability, transparency, board independence & leadership, and ESG.

The Awards programme aims to:

- ☑ Foster the highest standards (i.e. beyond regulatory requirements) in corporate governance, business ethics, board leadership and ESG in Hong Kong.
- ☑ Recognize excellence of corporate governance and ESG of listed companies that adopt exemplary standards.
- ☑ Raise the level of corporate governance and ESG in Hong Kong by showing best practices and accomplishments of listed companies.
- ☑ Promote a strong culture of good corporate governance and ESG by recognizing companies that have made significant improvements or important contributions to these areas.

Award Categories

Nominations are invited from companies listed on the Stock Exchange of Hong Kong that demonstrate excellence in two Award Categories :

I. Excellence in Corporate Governance

II. Excellence in ESG

Under the respective award category, candidate companies will enter for sub-groups as below :

1. **Hang Seng Index Constituent Companies**
2. **Hang Seng Composite Index Constituent Companies**
3. **Hang Seng China (Hong Kong-listed) 100 Index Constituent Companies**
4. **Weighted Voting Rights and Pre-profit Biotech companies**
   (Listed under HKEX Main Board Listing Rule 8A and Chapter 18A, respectively)
5. **Others & GEM Companies**
6. **Newly-listed Companies**
   (companies listed within 3 years before the year of award nomination and having published at least two annual reports; companies listed for no more than three years on the Stock Exchange of Hong Kong shall enter for the Newly-listed Companies category.)

Note: Each company can be nominated for only one sub-group

Companies can choose to enter for one of the sub-groups 1-6 for either the "Award of Excellence in Corporate Governance" or "Award of Excellence in ESG", or Both. Assessment will be done on the candidate companies' performance and achievements in Corporate Governance and ESG, respectively, in accordance with their nomination.

Special prizes or **honourable mentions** may also be awarded to honour those companies that have demonstrated exceptional commitment and achievement in a particular area of corporate governance or ESG as stated in the "Criteria and Selection Process" section of the Awards website "cgesgawards.chklc.org".

Judging Criteria

Nominated companies will be considered for their commitment and achievement in prescribed criteria under applicable Categories, as spelled out in the Awards website "cgesgawards.chklc.org". Special attention will be given to candidate companies' innovations and significant improvements in corporate governance or ESG; efforts made to internalize and pursue the highest principles over-and-beyond compliance; and ability to show positive business impact of the corporate governance or ESG spirit and practices.

HONG KONG Corporate Governance & ESG EXCELLENCE AWARDS 2023 香港公司管治與環境、社會及管治卓越獎

Selection Process

CHKLC and CCGFP will provide oversight of the Awards policies, selection process and criteria. This will involve an Expert Review Group (ERG) to conduct an independent review of the submissions, and an Award Judging Panel (AJP) to interview the short listed companies.

Nominations are open now till 31 August 2023 (Thursday)

Please visit **cgesgawards.chklc.org** for further details on the Awards, nomination procedures, the selection process and for downloading the Nomination and Entry Forms.

Key Dates

Last Date of Submission of Entry:
31 August 2023 (Thursday)

Review by ERG:
September - mid October 2023

Presentation to AJP:
27-28 October 2023

Awards Presentation:
14 December 2023 (Thursday)

CHKLC 香港上市公司商會 THE CHAMBER OF HONG KONG LISTED COMPANIES

The Chamber of Hong Kong Listed Companies

Incorporated in September 2002, CHKLC is a non-profit organisation serving listed companies and other industry bodies in Hong Kong. The Chamber strives to promote sound corporate governance; function as an effective communication channel between listed companies and regulatory authorities; strengthen the linkage and foster cooperation among listed companies from Hong Kong and China and uphold Hong Kong's position as an international financial and capital formation centre. Since 2007, the Chamber organises the annual "Hong Kong Corporate Governance and ESG Excellence Awards" jointly with the Hong Kong Baptist University to advocate best practices of corporate governance, ESG and recognize excellence.

香港浸會大學 HONG KONG BAPTIST UNIVERSITY
公司管治與金融政策研究中心 CENTRE FOR CORPORATE GOVERNANCE AND FINANCIAL POLICY

The Centre for Corporate Governance & Financial Policy of Hong Kong Baptist University

The Centre aspires to be a "Centre of Excellence" on corporate governance in the region. It promotes quality policy and academic research on corporate governance and related financial policy issues covering Hong Kong, China and the Asia-Pacific economies, with the aim to improve the quality of corporate governance practices. Additionally, the Centre provides professional executive education activities to disseminate knowledge and advance the highest ideals of responsible business leadership. Through consultancy projects, the Centre also assists organizations to design, improve and assess their corporate governance systems and structures.

香港公司管治與環境、社會及管治卓越獎對在維護股東權利、合規、誠信、公平、責任、問責、透明度、董事會獨立性和領導力及ESG方面作出承諾並取得突出成就的上市公司，予以認可及嘉獎。**二零二三年度香港公司管治與環境、社會及管治卓越獎**現正接受提名。

現正接受提名

目標

「香港公司管治與環境、社會及管治卓越獎」（前稱「香港公司管治卓越獎」），自二零零七年起舉辦，於2021年改為現名，以反映環境、社會及管治（ESG）對上市企業和投資大眾的日益重要性。

獎項計劃由香港上市公司商會與香港浸會大學公司管治與金融政策研究中心每年聯合頒授一次，旨在鼓勵香港上市公司加強公司及 ESG 管治。

該獎項對在維護股東權利、合規、誠信、公平、責任、問責、透明度、董事會獨立性和領導力及推行 ESG 等方面作出承諾並取得突出成就的上市公司，予以肯定及嘉獎。

獎項計劃旨在：

- ☑ 提倡香港公司管治、商業道德，董事會領導能力及 ESG 方面的最高標準（即超出法定要求）。
- ☑ 對在公司管治及 ESG 方面採納高標準而又取得卓越成就的上市公司加以表揚。
- ☑ 展示上市公司的最佳實務及成就，提高香港公司管治及 ESG 水平。
- ☑ 嘉許在公司管治及ESG方面有重大改進或作出重大貢獻的公司，進一步穩固香港的公司管治和 ESG 文化。

獎項類別

「香港公司管治與環境、社會及管治卓越獎」歡迎於香港聯合交易所上市、並在下列範疇取得卓越成就的公司，提名參加角逐兩大類別獎項：

I. 公司管治卓越獎

II. 環境、社會及管治卓越獎

在此兩大類別下，企業需選擇參加下列一個組別參與角逐：

1. **恒生指數成份股公司**
2. **恒生綜合指數成份股公司**
3. **恒生中國 (香港上市) 100指數成份股公司**
4. **不同投票權架構公司及未有收益的生物科技公司**
   （分別根據香港交易所《主板上市規則》第八 A 章及第十八 A 章上市）
5. **其他及GEM公司**
6. **新上市公司**
   （於參選獎項年份前三年內上市並刊發最少兩份年報，凡於聯交所上市不足三年之公司應參選新上市公司組別。）

註: 每家公司只能在一個組別提名角逐獎項

每家參選公司可選擇於「公司管治卓越獎」類別或「環境、社會及管治卓越獎」類別下（一）至（六）其中一個組別參與角逐，亦可以同時參與角逐兩個類別。大會會依據公司的選擇分別就其於「公司管治」和「環境、社會及管治」之表現和成就作出評審。

為表彰在個別公司管治層面（載於獎項網頁 cgesgawards.chklc.org「評審與遴選」部份）有特別承擔及顯著成就之公司，大會評審可因應情況頒發**特別獎**或**特別嘉許**。

評審準則

大會會根據參選公司選擇的獎項類別，依照詳列在獎項網站 cgesgawards.chklc.org 之評審準則，就其表現和成就作出評審。評審時會特別關注在公司管治或 ESG 方面的創新和重大改善舉措；在深化並追求最高原則作出之努力而並非單純符合條例要求；與及公司管治或 ESG 理念和措施為業務所帶來的正面影響。

遴選程序

香港上市公司商會及香港浸會大學公司管治與金融政策研究中心將聯合制定獎項政策，及遴選程序和準則。遴選程序將包括由專家審議小組對參選公司進行獨立審核，再由評獎委員會與獲推薦之公司進行會面。

由即日起至二零二三年八月三十一日(星期四)接受提名

請即登入**cgesgawards.chklc.org**參閱有關獎項的詳情、詳細提名程序、遴選過程，以及下載提名及參選表格。

重要日期

提交參選表格的截止日期：
二零二三年八月三十一日（星期四）

專家審議小組進行審核：
二零二三年九月至十月中

與評獎委員會會面： 二零二三年十月二十七及二十八日

頒獎及晚宴： 二零二三年十二月十四日（星期四）

香港上市公司商會
THE CHAMBER OF HONG KONG LISTED COMPANIES

香港上市公司商會

香港上市公司商會為非牟利組織，於2002年9月正式註冊成立，為香港上市公司及其他相關機構提供服務。商會致力推廣企業管治；擔當上市公司與有關監管機構之間的溝通角色；加強香港上市公司之間的聯繫；促進中港兩地上市公司之間的合作，維護香港作為國際金融及集資中心的地位。商會自2007年每年與香港浸會大學聯合頒發「香港公司管治與環境、社會及管治卓越獎」，以表揚具有公司管治與ESG卓越表現的上市公司。

香港浸會大學
HONG KONG BAPTIST UNIVERSITY
公司管治與金融政策研究中心
CENTRE FOR CORPORATE GOVERNANCE AND FINANCIAL POLICY

香港浸會大學公司管治與金融政策研究中心

公司管治與金融政策研究中心致力成為區內公司管治的「卓越中心」。該中心鼓勵對涉及香港、內地及亞太經濟地區的公司管治及相關金融政策問題制定高效政策和進行學術研究，以提高公司管治實務的水平。此外，該中心提供專業行政人員的教育活動，以傳播知識及提倡負責任之商業領導行為。該中心亦透過諮詢項目協助不同組織設計、改善及評估其公司管治制度及結構。